

COMPLIANCE REPORT ASSESSMENT

BALRANALD SHIRE COUNCIL

Performance improvement order dated: 24 April 2017

Period for compliance with order: Implementation plan – 5 July 2017

Date of assessment: 31 August 2017

Terms of the order		Council's first compliance report	Division's assessment
Actions required	1. That Council develop an implementation plan acceptable to the Minister that addresses the findings and recommendations from the Office of Local Government's report entitled ' <i>Balranald Shire Council report on Preliminary Enquiries dated August 2016</i> ' (the Report).	On 28 June 2017, Council resolved to provide the Minister with the implementation plan.	FULL COMPLIANCE: On 29 June 2017, Council provided the Minister with the implementation plan addressing the findings of the Report.
	2. That Council utilise the services of the temporary adviser to assist in identifying any additional risks and areas of non-compliance in Council's controls and processes and incorporate these in the implementation plan.	On 15 August 2017, Council resolved to provide the Minister with an amended implementation plan.	FULL COMPLIANCE: The temporary adviser provided advice and assistance to Council, including holding workshops and discussions with councillors and staff, reviewing documentation and issuing memoranda relating to procedural matters. The temporary adviser prepared a report identifying additional risks and areas of non-compliance in Council's controls and processes, containing 26 recommendations.

			On 21 August 2017, Council provided the Minister with an amended implementation plan containing the 26 recommendations made by the temporary adviser.
	<p>3. The implementation plan must:</p> <p>a. Demonstrate how Council will address the findings and recommendations in the Report.</p> <p>b. Demonstrate how Council will address any additional risks and areas of non-compliance in Council's controls and processes.</p> <p>c. Identify specific completion dates.</p> <p>d. Identify the person/s responsible for implementation activities.</p> <p>e. Identify what, if any, additional resources are required to give effect to the plan.</p>	The implementation plan lists each recommendation and contains proposed outcomes, the person/s responsible for implementation, current status, any additional resources required and specific completion dates.	FULL COMPLIANCE: The implementation plan satisfies the requirements of the performance improvement order.
	4. If the plan is satisfactory to the Minister, the Council is to adopt the plan and commence its implementation.	The temporary adviser has endorsed the compliance documentation and advised he is satisfied that Council is actively pursuing the recommendations in the implementation plan.	FULL COMPLIANCE: Several recommendations in the implementation plan have been completed or are nearing completion. The temporary adviser will continue to provide advice and assistance to Council to ensure compliance with the performance improvement order.
Reporting timeframes	The performance improvement order required Council to provide the Minister with the implementation plan on or before 5 July 2017.	Council provided compliance information on 29 June and 21 August 2017.	PARTIAL COMPLIANCE: Council resolved on 28 June 2017 to provide the implementation plan addressing the findings and recommendations from the Report.

			<p>The temporary adviser provided a report on 6 July 2017 identifying additional risks and areas of non-compliance in Council's controls and processes, containing 26 recommendations.</p> <p>Council resolved on 15 August 2017 to provide an amended implementation plan, containing the 26 recommendations made by the temporary adviser. The delay in compliance with the requirements of the performance improvement order was contributed to by the temporary adviser holding a workshop with councillors to discuss his report and recommendations, and by the General Manager submitting the amended implementation plan to Council for adoption prior to it being provided to the Minister.</p>
Evidence to be provided	A copy of Council's implementation plan.	Council has provided the relevant evidence.	The temporary adviser has endorsed the compliance documentation.

Has the performance improvement order been implemented to the satisfaction of the Minister/Chief Executive?

Council has complied with the required actions under the performance improvement order.

The performance improvement order has been successful in enabling Council to recognise the significant and serious risks facing Council's operations and ensuring Council is in a position to meet its legislative responsibilities.

Is any further intervention required? If yes, recommended intervention action to be specified.

Yes. Council should adopt the implementation plan and continue to utilise the services of the temporary adviser to assist Council in ensuring it complies with the performance improvement order.